

**STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION**

LIMITED SCOPE COMPLIANCE EXAMINATION

For the Year Ended June 30, 2015

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
 LIMITED SCOPE COMPLIANCE EXAMINATION
 For the Year Ended June 30, 2015

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STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
For the Year Ended June 30, 2015

COMMISSION OFFICIALS

Chairman	Brien Sheahan (Effective 1/20/15) Doug Scott (Through 1/12/15)
Commissioner	Miguel del Valle
Commissioner	Sherina Maye Edwards
Commissioner	Ann McCabe
Commissioner	John Rosales (Effective 3/13/15) John Colgan (Through 1/19/15)
Executive Director	Cholly Smith (Effective 4/27/15) Steven Matrisch (Acting) (4/6/15 to 4/26/15) Jonathan Feipel (Through 4/3/15)
Deputy Executive Director	Steven Matrisch (Effective 5/1/15)
Bureau Chief Planning and Operations	Vacant (Effective 5/16/15) Ken Hundrieser (Through 5/15/15)
Bureau Chief Administrative Law Judges	Ethan Kimbrel (Effective 4/16/15) Mike Wallace (Through 4/15/15)
Bureau Chief Public Utilities	Gene Beyer
Bureau Chief External Affairs	Vacant (Effective 8/15/15) Kelly Klopp (4/27/15 to 8/14/15) Randy Nehrt (Through 11/20/14)
Bureau Chief Transportation	Steven Matrisch
Director of Administrative Services	Jane Fields
Fiscal Manager	Sue McCormick

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
For the Year Ended June 30, 2015

COMMISSION OFFICIALS (continued)

General Counsel

Jay Hines-Shah (Effective 4/27/15)
Nora Naughton (Acting) (Through 4/21/15)

Chief Internal Auditor

Aaron Rife

The Commission is located at:

527 East Capitol Avenue
Springfield, Illinois 62701

160 North LaSalle Street
Suite C-800
Chicago, Illinois 60601



ILLINOIS COMMERCE COMMISSION

MANAGEMENT ASSERTION LETTER

December 8, 2015

Honorable William G. Holland
Auditor General
740 East Ash Street
Springfield, IL 62703

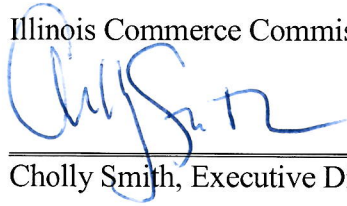
Auditor General Holland:

We are responsible for the identification of, and compliance with, all aspects of laws, regulations, contracts, or grant agreements that could have a material effect on the operations of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund. We are responsible for and we have established and maintained an effective system of internal controls over compliance requirements. We have performed an evaluation of the Illinois Commerce Commission's (Commission) compliance with the following assertions during the year ended June 30, 2015. Based on this evaluation, we assert that during the year ended June 30, 2015, the Commission has materially complied with the assertions below.

- A. The Commission has obligated, expended, received and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The Commission has obligated, expended, received and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The Commission has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations for the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund.
- D. State revenues and receipts of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund collected by the Commission are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

Yours truly,

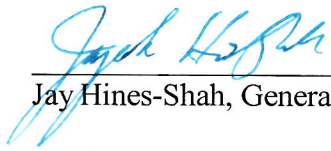
Illinois Commerce Commission



Cholly Smith, Executive Director



Jane Fields, Director of Administrative Services



Jay Hines-Shah, General Counsel

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
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For the Year Ended June 30, 2015

COMPLIANCE REPORT

SUMMARY

The limited scope compliance testing performed during this examination was conducted in accordance with *Government Auditing Standards* and in accordance with the Illinois State Auditing Act.

ACCOUNTANT’S REPORT

The Independent Accountant’s Report on State Compliance, on Internal Control Over Compliance and on Supplementary Information for State Compliance Purposes does not contain scope limitations, disclaimers, or other significant non-standard language.

SUMMARY OF FINDINGS

<u>Number of</u>	<u>Current Report</u>	<u>Prior Report</u>
Findings	0	0
Repeated findings	0	0
Prior recommendations implemented or not repeated	0	0

EXIT CONFERENCE

The Commission waived an exit conference in correspondence dated November 20, 2015 from Chief Internal Auditor Aaron Rife.

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OFFICE OF THE AUDITOR GENERAL
WILLIAM G. HOLLAND

INDEPENDENT ACCOUNTANT'S REPORT ON STATE COMPLIANCE,
ON INTERNAL CONTROL OVER COMPLIANCE, AND ON
SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

Honorable William G. Holland
Auditor General
State of Illinois

Compliance

We have examined the State of Illinois, Illinois Commerce Commission's compliance with the requirements listed below, as more fully described in the Audit Guide for Financial Audits and Compliance Attestation Engagements of Illinois State Agencies (Audit Guide) as adopted by the Auditor General, during the year ended June 30, 2015. The management of the State of Illinois, Illinois Commerce Commission is responsible for compliance with these requirements. Our responsibility is to express an opinion on the State of Illinois, Illinois Commerce Commission's compliance based on our examination.

- A. The State of Illinois, Illinois Commerce Commission has obligated, expended, received, and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with the purpose for which such funds have been appropriated or otherwise authorized by law.
- B. The State of Illinois, Illinois Commerce Commission has obligated, expended, received, and used public funds of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund in accordance with any limitations, restrictions, conditions or mandatory directions imposed by law upon such obligation, expenditure, receipt or use.
- C. The State of Illinois, Illinois Commerce Commission has complied, in all material respects, with applicable laws and regulations, including the State uniform accounting system, in its financial and fiscal operations of the State's Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund.
- D. State revenues and receipts of the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund collected by the State of Illinois, Illinois Commerce Commission are in accordance with applicable laws and regulations and the accounting and recordkeeping of such revenues and receipts is fair, accurate and in accordance with law.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants; the standards applicable to attestation engagements contained in *Government Auditing Standards* issued by the Comptroller General of the United States; the Illinois State Auditing Act (Act); and the Audit Guide as adopted by the Auditor General pursuant to the Act; and, accordingly, included examining, on a test basis, evidence about the State of Illinois, Illinois Commerce Commission's compliance with those requirements listed in the first paragraph of this report and performing such other procedures as we considered necessary in the circumstances. We believe that our examination provides a reasonable basis for our opinion. Our examination does not provide a legal determination on the State of Illinois, Illinois Commerce Commission's compliance with specified requirements.

In our opinion, the State of Illinois, Illinois Commerce Commission complied, in all material respects, with the compliance requirements listed in the first paragraph of this report during the year ended June 30, 2015.

Internal Control

Management of the State of Illinois, Illinois Commerce Commission is responsible for establishing and maintaining effective internal control over compliance with the requirements listed in the first paragraph of this report. In planning and performing our examination, we considered the State of Illinois, Illinois Commerce Commission's internal control over compliance with the requirements listed in the first paragraph of this report to determine the examination procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with the Audit Guide, issued by the Illinois Office of the Auditor General, but not for the purpose of expressing an opinion on the effectiveness of the State of Illinois, Illinois Commerce Commission's internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the State of Illinois, Illinois Commerce Commission's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with the requirements listed in the first paragraph of this report on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a requirement listed in the first paragraph of this report will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

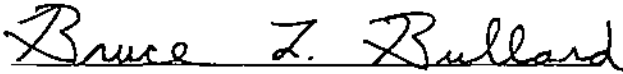
There were no immaterial findings that have been excluded from this report.

Supplementary Information for State Compliance Purposes

Our examination was conducted for the purpose of forming an opinion on compliance with the requirements listed in the first paragraph of this report. The accompanying supplementary information for the year ended June 30, 2015 in Schedules 1 through 8 and the Analysis of Operations Section is presented for purposes of additional analysis. We have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 accompanying supplementary information in Schedules 1 through 8. However, we do not express an opinion on the accompanying supplementary information.

We have not applied procedures to the June 30, 2014 accompanying supplementary information in Schedules 2, 3, 4, 5, 6 and 8, in the Analysis of Operations Section, and accordingly, we do not express an opinion or provide any assurance on it.

This report is intended solely for the information and use of the Auditor General, the General Assembly, the Legislative Audit Commission, the Governor, Illinois Commerce Commission's management and the Commission's governing board and is not intended to be and should not be used by anyone other than these specified parties.


BRUCE L. BULLARD, CPA
Director of Financial and Compliance Audits

Springfield, Illinois
December 8, 2015

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
For the Year Ended June 30, 2015

SUPPLEMENTARY INFORMATION FOR STATE COMPLIANCE PURPOSES

SUMMARY

Supplementary Information for State Compliance Purposes presented in this section of the report includes the following:

- Fiscal Schedules and Analysis:

- Schedule of Appropriations, Expenditures and Lapsed Balances
- Comparative Schedule of Appropriations, Expenditures and Lapsed Balances
- Schedule of Receipts, Disbursements and Fund Balances (Cash Basis)
- Comparative Schedule of Cash Receipts and Reconciliation of Cash Receipts to Deposits Remitted to the State Comptroller
- Analysis of Significant Variations in Expenditures
- Analysis of Significant Variations in Receipts
- Analysis of Significant Lapse Period Spending
- Status of Implementation of Wireless 9-1-1 and E9-1-1 Systems

- Analysis of Operations (Not Examined):

- Wireless Emergency Telephone Safety Act Functions and Planning Program (Not Examined)
- Schedule of Provider Disbursements from the Wireless Service Emergency Fund (Fund 612) (Not Examined)

The accountant's report that covers the Supplementary Information for State Compliance Purposes presented in the Compliance Report Section states the accountants have applied certain limited procedures as prescribed by the Audit Guide as adopted by the Auditor General to the June 30, 2015 accompanying supplementary information in Schedules 1 through 8. However, the accountants do not express an opinion on the supplementary information. The accountant's report also states that they have not applied procedures to the Analysis of Operations Section, and accordingly, they do not express an opinion or provide any assurance on it.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
SCHEDULE OF APPROPRIATIONS, EXPENDITURES AND LAPSED BALANCES
Appropriations for Fiscal Year 2015

Fourteen Months Ended August 31, 2015

	<u>Appropriations</u>	<u>Expenditures Through 6/30/2015</u>	<u>Lapse Period Expenditures 7/01-8/31/15</u>	<u>Total Expenditures</u>	<u>Balances Lapsed</u>
Public Act 98-0679					
<u>Public Act 99-0001</u>					
 WIRELESS SERVICE EMERGENCY FUND - 612					
Administrative Costs and Grants to Emergency Telephone System Boards, Qualified Government Entities, or Department of State Police for Wireless 9-1-1 or E9-1-1	\$ 77,130,000	\$ 54,552,139	\$ 11,489,064	\$ 66,041,203	\$ 11,088,797
Subtotal - Fund 612	<u>\$ 77,130,000</u>	<u>\$ 54,552,139</u>	<u>\$ 11,489,064</u>	<u>\$ 66,041,203</u>	<u>\$ 11,088,797</u>
 WIRELESS CARRIER REIMBURSEMENT FUND- 613					
Reimbursement of Wireless Carriers and Administrative Costs	\$ 9,689,800	\$ 9,689,118	\$ -	\$ 9,689,118	\$ 682
Subtotal - Fund 613	<u>\$ 9,689,800</u>	<u>\$ 9,689,118</u>	<u>\$ -</u>	<u>\$ 9,689,118</u>	<u>\$ 682</u>
 GRAND TOTAL - ALL FUNDS	 <u>\$ 86,819,800</u>	 <u>\$ 64,241,257</u>	 <u>\$ 11,489,064</u>	 <u>\$ 75,730,321</u>	 <u>\$ 11,089,479</u>

Note: Information was obtained from the State Comptroller's records which have been reconciled to those of the Commission. Expenditure amounts are vouchers approved for payment by the Commission and submitted to the State Comptroller for payment to the vendor.

Schedule 2

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
**COMPARATIVE SCHEDULE OF APPROPRIATIONS, EXPENDITURES
AND LAPSED BALANCES**

For the Fiscal Years Ended June 30, 2015 and 2014

	Fiscal Year	
	2015	2014
	P.A. 98-0679	
WIRELESS SERVICE EMERGENCY FUND - 612	P.A. 99-0001	P.A. 98-0064
<u>Appropriations</u>	<u>\$ 77,130,000</u>	<u>\$ 77,130,000</u>
<u>Expenditures</u>		
Administrative Costs and Grants to Emergency Telephone System Boards, Qualified Governmental Entities, or Department of State Police for Wireless 9-1-1 or E9-1-1	<u>\$ 66,041,203</u>	<u>\$ 63,047,965</u>
Total Expenditures	<u>\$ 66,041,203</u>	<u>\$ 63,047,965</u>
<u>Lapsed Balances</u>	<u>\$ 11,088,797</u>	<u>\$ 14,082,035</u>
 WIRELESS CARRIER REIMBURSEMENT FUND - 613		
<u>Appropriations</u>	<u>\$ 9,689,800</u>	<u>\$ 14,689,800</u>
<u>Expenditures</u>		
Reimbursement of Wireless Carriers and Administrative Costs	<u>\$ 9,689,118</u>	<u>\$ 2,609,534</u>
Transfer to Public Utility Fund	<u>-</u>	<u>9,000,000</u>
Total Expenditures	<u>\$ 9,689,118</u>	<u>\$ 11,609,534</u>
<u>Lapsed Balances</u>	<u>\$ 682</u>	<u>\$ 3,080,266</u>
 GRAND TOTAL - ALL FUNDS		
Total Appropriations (Net After Transfers)	<u>\$ 86,819,800</u>	<u>\$ 91,819,800</u>
Total Expenditures	<u>\$ 75,730,321</u>	<u>\$ 74,657,499</u>
Lapsed Balances	<u>\$ 11,089,479</u>	<u>\$ 17,162,301</u>

Schedule 3

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
SCHEDULE OF RECEIPTS, DISBURSEMENTS, AND FUND BALANCES
(CASH BASIS)

For the Year Ended June 30, 2015

	Wireless Service Emergency Fund Fund 612	Wireless Carrier Reimbursement Fund Fund 613
Balance - July 1, 2014	\$ 8,178,282	\$ 6,382,179
Receipts		
Carrier Deposits		
Post-Paid Carrier Deposits	59,470,322	5,884,969
Pre-Paid Carrier Deposits ¹	6,817,323	-
Reimbursement Fund (613)	108,261	-
TOTAL RECEIPTS	66,395,906	5,884,969
Disbursements		
Payments to Providers of 911 Service	64,590,780	10,510,688
Fund Transfer to GRF	7,500,000	-
Prepaid Admin Charge (IDOR)	136,347	-
Other Expenses	4,319	-
TOTAL DISBURSEMENTS	72,231,446	10,510,688
Fund Transfers		
Statutory Transfers Out ²	-	108,261
TOTAL TRANSFERS	-	108,261
Balance - June 30, 2015	\$ 2,342,742	\$ 1,648,199

Note: This schedule was prepared from State Comptroller records, which have been reconciled to Agency records. This schedule was prepared on the cash basis to include all transactions between July 1, 2014 and June 30, 2015, including Fiscal Year 2014 lapse expenditures from Fund 612 of \$10,042,961 and Fund 613 of \$821,570. However, Fiscal Year 2015 lapse expenditures processed by the Comptroller from Fund 612 of \$11,489,064 have been excluded. As a result, disbursements reported above differ from amounts reported in the Schedule of Appropriations, Expenditures and Lapsed Balances for Fiscal Year 2015.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
SCHEDULE OF RECEIPTS, DISBURSEMENTS AND FUND BALANCES
(CASH BASIS)
For the Year Ended June 30, 2015

Note: ¹ Effective January 1, 2012, the Wireless Emergency Telephone Service Act (50 ILCS 751) was amended to state that wireless carrier surcharges authorized by the Act shall not apply to prepaid wireless telecommunication services. Surcharges imposed on prepaid wireless telecommunication services are instead subject to the Prepaid Wireless 9-1-1 Surcharge Act (50 ILCS 753), effective January 1, 2012. Surcharges imposed by the Prepaid Wireless 9-1-1 Surcharge Act are collected by the Illinois Department of Revenue and are remitted to the Commission for disbursement to 9-1-1 Providers from Fund 612.

² \$108,261 was transferred to Fund 612 as required by the Wireless Emergency Telephone Safety Act.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
**COMPARATIVE SCHEDULE OF CASH RECEIPTS AND
RECONCILIATION OF CASH RECEIPTS TO DEPOSITS
REMITTED TO THE STATE COMPTROLLER**
For the Fiscal Years Ended June 30,

	2015	2014
Wireless Service Emergency Fund - 0612		
Wireless 911 Surcharge	\$ 59,992,126	\$ 51,833,915
911 WETSA Penalties	2,896	-
Total cash receipts per Commission	59,995,022	51,833,915
Less - In transit at End of Year	4,127,440	3,602,740
Plus - In transit at Beginning of Year	3,602,740	3,916,006
Total cash receipts per State Comptroller's Records	\$ 59,470,322	\$ 52,147,181
 Wireless Carrier Reimbursement Fund - 0613		
Wireless 911 Surcharge	\$ 5,275,865	\$ 13,125,327
Total cash receipts per Commission	5,275,865	13,125,327
Less - In transit at End of Year	303,178	912,282
Plus - In transit at Beginning of Year	912,282	991,607
Total cash receipts per State Comptroller's Records	\$ 5,884,969	\$ 13,204,652
 GRAND TOTAL - ALL FUNDS		
Total cash receipts per Commission	\$ 65,270,887	\$ 64,959,242
Less - In transit at End of Year	4,430,618	4,515,022
Plus - In transit at Beginning of Year	4,515,022	4,907,613
Total cash receipts per State Comptroller's Records - All Funds	\$ 65,355,291	\$ 65,351,833

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES
For the Year Ended June 30, 2015

Significant variances were determined to be changes of at least \$50,000 and 20% between fiscal years as reported in the Comparative Schedule of Net Appropriations, Expenditures, and Lapsed Balances, and are explained below.

ANALYSIS OF SIGNIFICANT VARIATIONS IN EXPENDITURES BETWEEN FISCAL YEARS 2014 AND 2015

Wireless Carrier Reimbursement Fund - 613

Reimbursement of Wireless Carriers and Administrative Costs

The increase was due to a statutory change eliminating the reimbursement cap on a wireless carrier's ability to recover its 9-1-1 costs. Previously, the carriers that had sought costs recovery typically had more in costs than the 100% cap allowed.

Transfer to Public Utility Fund

The decrease was due to a statutory change removing the allowance for the transfer of funds.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS
For the Year Ended June 30, 2015

Significant variances in receipts were determined to be changes of at least \$50,000 and 20% between fiscal years, and are explained below.

**ANALYSIS OF SIGNIFICANT VARIATIONS IN RECEIPTS BETWEEN
FISCAL YEARS 2014 AND 2015**

Wireless Carrier Reimbursement Fund - 613

The decrease was due to Public Act 98-0634, which reduced the statutorily required amount to be deposited into the Fund on or after July 1, 2014 from \$0.1475 per surcharge collected to \$0.05 per surcharge collected.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
ANALYSIS OF SIGNIFICANT LAPSE PERIOD SPENDING
For the Year Ended June 30, 2015

There was no significant lapse period spending noted during Fiscal Year 2015.

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1 AND E9-1-1 SYSTEMS
For Fiscal Years 2015 and 2014

Wireless 9-1-1 systems report annually to the Commission the status of implementation of wireless enhanced 9-1-1 systems. Some systems reflect multiple phases, since the phases of implementation are reported by wireless telephone carriers within each system which may be in different stages of implementation. Implementation phases are defined by the Federal Communications Commission as:

Phase 0 - No information is received with the wireless call.

Phase 1 - The telephone number of the caller and the location of the cell site or base station is received with the wireless call.

Phase 2 - The telephone number of the caller and the location of all 9-1-1 calls by longitude and latitude is received with the wireless call.

NR - A status of implementation report was not filed for the fiscal year.

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
Alexander County (See Pulaski County)			
Alsip	2		2
Barrington	2		2
Barrington Hills	2		2
Bedford Park	2		2
Bellwood	2		2
Berkeley	2		2
Berwyn	2		2
Blue Island	2		2
Bond County	2		2
Boone County	2		2
Bridgeview (See Oak Lawn)			
Broadview	1		1
Brookfield	2		NR
Brown County	1		1
Bureau County	2		2
Burr Ridge (See Southwest Central)			
Calhoun County (See Jersey County)			

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1 AND E9-1-1 SYSTEMS
For Fiscal Years 2015 and 2014

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
Calumet City/Burnham	2		2
Calumet Park (See Blue Island)			
Carroll County	2		2
Cass County	2		2
Cencom	2		2
Champaign County	2		2
Chicago Heights	2		2
Chicago Office of Emerg. Comm.	2		2
Christian County	2		2
Cicero	2		2
Clark County	2		2
Clay County	2		2
Clinton County	2		2
Coles County	2		2
Cook County	2		2
Country Club Hills	2		2
Countryside	2		2
Crawford County	2		2
Cumberland County	2		2
Deerfield/Bannockburn	2		2
DeKalb County	2		2
Des Plaines	2		2
DeWitt County	2		2
Dolton (See Cook County for FY15 and Illinois State Police for FY14)			
Douglas County	2		2
DuPage County	2		2
E-COM (Includes Flossmoor, Glenwood, Homewood, Hazelcrest, Riverdale, and South Holland)	2		2

STATE OF ILLINOIS
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LIMITED SCOPE COMPLIANCE EXAMINATION
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1 AND E9-1-1 SYSTEMS
For Fiscal Years 2015 and 2014

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015¹</u>	<u>Phase</u>	<u>2014²</u>
Edgar County	2		2
Edwards County	2		2
Effingham County	2		2
Elgin	2		2
Elmwood Park	2		2
Evanston	2		2
Ford County	2		2
Forest Park	2		2
Fox Lake	2		2
Franklin County	2		2
Franklin Park	2		2
Fulton County	2		2
Galesburg/Knox County	2		2
Gallatin County	2		2
Glencoe	2		2
Glenview	2		2
Grayslake	2		NR
Greene County (See Jersey County for FY15 and Illinois State Police for FY14)			
Grundy County	2		2
Gurnee	2		2
Hamilton County (See Saline & White Counties)			
Hancock County	2		2
Hardin County (See Saline County)			
Harvey	2		2
Harwood Heights	2		2
Henderson County (See Macomb/McDonough)			
Henry County	2		2

STATE OF ILLINOIS
ILLINOIS COMMERCE COMMISSION
LIMITED SCOPE COMPLIANCE EXAMINATION
STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1 AND E9-1-1 SYSTEMS
For Fiscal Years 2015 and 2014

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
Hickory Hills	2		2
Highland Park	2		2
Highwood	2		2
Hillside	2		2
Hometown	2		2
Illinois State Police	0		0
Dolton (FY14 Only)			
Fayette County			
Forest View			
Greene County (FY14 Only)			
Vandalia			
Indian Head Park (See Southwest Central)			
Iroquois County	2		2
Jackson County	2		2
Jasper County	2		2
Jefferson County	2		2
Jersey County	2		2
Jo Daviess County	2		2
Johnson County	2		2
Justice	2		2
Kane County	2		2
Kankakee County	2		2
Kendall County	2		2
Kenilworth	2		2
LaGrange	2		2
LaGrange Park	2		2
Lake Bluff	2		2
Lake County	2		2
Lake Forest	2		2
Lansing	2		2

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 For Fiscal Years 2015 and 2014

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
LaSalle, City of	2		2
LaSalle County	2		2
Lawrence County (See Crawford County)			
Lee County	2		2
Libertyville	2		2
Lincolnshire	2		2
Lincolnwood	2		2
Livingston County	2		2
Logan County	2		2
Lynwood Combined Dispatch (Lynwood/Thornton/East Hazelcrest)	2		2
Lyons	2		2
Macomb/McDonough County	2		2
Macon County	2		2
Macoupin County	2		2
Madison County	2		2
Marion, City of	2		2
Marion County	2		2
Markham	2		2
Marseilles	2		2
Marshall County	2		2
Mason County	2		2
Massac County	2		2
Maywood	2		2
McCook	2		2
McHenry County	2		2
McLean County	2		2
Menard County	2		2

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<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
Mendota	2		2
Mercer County	2		2
Merrionette Park (See Cook County for FY14)	2		-
Midlothian	2		2
Monroe County	2		2
Montgomery County	2		2
Morgan County	2		2
Morton Grove	2		2
Moultrie County (See Coles County)			
Mundelein	2		2
Naperville	2		2
Niles	2		2
Norridge	2		2
North Chicago	2		2
North Riverside	2		2
Northbrook	2		2
Northfield	2		2
Northwest Central	2		2
Oak Forest	2		2
Oak Lawn	2		2
Oak Park	2		2
Ogle County	2		2
Oglesby	2		2
Orland	2		2
Ottawa	2		2
Park City (See Waukegan)			
Park Ridge	2		2
Peoria County	2		2

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<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
Perry County	2		2
Peru	2		2
Piatt County	2		2
Pike County	2		2
Pope County (See Saline & Johnson Counties)			
Pulaski County	2		2
Putnam County	2		2
QuadCom	2		2
Quincy/Adams County	2		2
Randolph County	2		2
Richland County	1/2 ³		1/2 ³
River Forest	2		2
River Grove	2		2
Riverside	2		2
Rock Island County	2		2
Rosemont	2		2
Saline County	2		2
Sangamon County	2		2
Sauk Village	2		2
Schiller Park	2		2
Schuyler County (See Macomb/McDonough)			
Scott County	2		2
Seneca (See Marseilles)			
Shelby County (See Coles County)			
Skokie	2		2
South Chicago Heights (See Chicago Heights)			
South Elgin	2		2
SouthCom	2		2

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For Fiscal Years 2015 and 2014

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
Southwest Central	2		2
Stark County (See Marshall County)			
St. Clair County	2		2
Stephenson County	2		2
Stickney	2		2
Streator	2		2
Summit	2		2
Tazewell County	2		2
Tinley Park	2		2
Union County	2		2
Vermilion County	2		2
Vernon Hills	2		2
Wabash County	2		2
Warren County	2		2
Washington County	2		2
Waukegan	2		2
Wayne County	2		2
Westchester	2		2
Western Springs	2		2
Wheeling	2		2
White County	2		2
Whiteside County	2		2
Will County	2		2
Williamson County	2		2
Willow Springs	1/2 ³		1/2 ³
Wilmette	2		2
Winnebago County	2		2
Winnetka	2		2

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STATUS OF IMPLEMENTATION OF WIRELESS 9-1-1 AND E9-1-1 SYSTEMS
 For Fiscal Years 2015 and 2014

<u>Wireless 9-1-1/E9-1-1 System</u>	<u>2015</u> ¹	<u>Phase</u>	<u>2014</u> ²
Winthrop Harbor	2		2
Woodford County	2		2
Zion	2		2

¹ Status of implementation reported by system as of June 30, 2015.

² Status of implementation reported by system as of June 30, 2014.

³ Denotes wireless systems where the wireless carriers are in various phases of implementation.

	Totals	
	<u>2015</u>	<u>2014</u>
Phase 0	1	1
Phase 1	2	2
Phase 2	186	183
Combination of Phases	2	2
NR	0	2
Total Wireless 911 Systems	<u>191</u>	<u>190</u>

Notes:

Municipalities or counties in Illinois that do not have a 9-1-1 system or which chose not to take wireless 9-1-1 calls are either handled by another 9-1-1 system or by the Illinois State Police (ISP) as the established default. If handled by the ISP, all calls are taken at Phase 0.

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LIMITED SCOPE COMPLIANCE EXAMINATION
FUNCTIONS AND PLANNING PROGRAM
For the Fiscal Year Ended June 30, 2015
(Not Examined)

The Illinois Commerce Commission (Commission) is responsible for administering the Wireless Service Emergency Fund and the Wireless Carrier Reimbursement Fund (Funds) pursuant to the Wireless Emergency Telephone Safety Act (Act) (50 ILCS 751/1 et seq.). The purpose of the Act is “to promote the use of wireless 9-1-1 and wireless enhanced 9-1-1 (E9-1-1) service in order to save lives and protect the property of the citizens of the State of Illinois” (50 ILCS 751/5).

Under the provisions of the Act, the Commission had been given the responsibility of coordinating the implementation of the wireless 9-1-1 emergency telephone systems on a state-wide basis. The Act charged the Commission with the following responsibilities: to set standards consistent with the Federal Communications Commission for directing calls to the authorized public safety answering point, to maintain a current list of all wireless 9-1-1 systems and providers, to determine distributions from the Funds, to adopt rules governing reimbursements to wireless carriers, to develop and maintain a database of providers eligible to receive grants and carriers eligible to receive reimbursements to the extent authorized by the Act, to make monthly grants to eligible systems or providers, to review and process presented carriers requests for reimbursement in accordance with the Act, to account for all surcharges remitted to and moneys disbursed from the Funds, to annually review and transfer excess fund balances between the Funds, to provide an annual accounting of all receipts and disbursements to the Auditor General, and to resolve disputes as required by the Act.

Surcharges remitted to the Commission from wireless carriers include subscriber files which are self-reported by carriers and detail the number of subscribers by zip code. The Commission relies on these subscriber files to calculate distributions to the wireless 9-1-1 systems.

The Commission’s Safety and Reliability Division and Fiscal Division located at 527 East Capitol Avenue, Springfield, Illinois were responsible for administration of the Act and the Funds. At June 30, 2015, the Commission consisted of Chairman Brien Sheahan and Commissioners Miguel del Valle, Ann McCabe, Sherina Maye, and John Rosales (acting). The Commission’s Executive Director, currently Cholly Smith, is hired by the Commission and is responsible for the daily operation of the Commission.

The Auditor General was required to conduct an annual examination of the Funds for requirements with the Act. Public Act 99-0006 amended the Act, effective January 1, 2016, to transfer the rights, functions, powers, and duties of the Commission with respect to administration of the program and Funds to the Department of State Police (Department) and also removed the annual examination requirement. Going forward, the examination of the program and Funds will be included as a part of the regularly scheduled biennial examinations of the Department and the Commission.

STATE OF ILLINOIS
 ILLINOIS COMMERCE COMMISSION
 LIMITED SCOPE COMPLIANCE EXAMINATION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
 WIRELESS SERVICE EMERGENCY FUND (FUND 612)**
 For the Fiscal Year Ended June 30, 2015
 (Not Examined)

The following schedule of disbursements to wireless 9-1-1 system providers was reported by the Commission to the Office of the Auditor General in the Fiscal Year 2015 Annual Filing required by the Wireless Emergency Telephone Safety Act (50 ILCS 751/25, 35). The Annual Filing includes expenditures from July 1, 2014 through the end of Fiscal Year 2015 lapse period. The schedule does not include administrative costs paid by the Commission as allowed by the Act.

<u>Provider Name</u>	<u>Total Disbursements</u>
Alsip 911 ETSB	\$ 137,226
Barrington 911	105,757
Barrington Hills 911	17,876
Bedford Park Police Department	174,288
Bellwood ETSB	108,202
Berkeley 911	33,026
Berwyn Public Safety Center	298,746
Blue Island ETSB	113,307
Blue Island/Calumet Park	27,953
Blue Island/Posen ETSB	28,254
Bond County	107,395
Boone County	345,529
Broadview ETSB	68,380
Bureau County 911	217,137
Calumet City 911 ETSB	181,076
Carroll County ETSB	114,613
Cass County ETSB	92,290
Cass/Brown County ETSB	29,600
Cencom E911 Round Lake	292,936
Champaign County METCAD 911	1,032,184
Chicago Heights 911	161,239
Christian County 911	242,883
Cicero 911 ETSB	382,088
City of LaSalle	57,507
City of Marion 911	106,025
City of Oglesby 911	26,481
Clark County 911	123,932
Clay County E911	119,984
Clinton County ETSB	245,632
Coles County/Moultrie	86,809

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LIMITED SCOPE COMPLIANCE EXAMINATION
**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
WIRELESS SERVICE EMERGENCY FUND (FUND 612)**
For the Fiscal Year Ended June 30, 2015
(Not Examined)

<u>Provider Name</u>	<u>Total Disbursements</u>
Coles County/Shelby	\$ 97,209
Coles County ETSB	285,199
Cook County ETSB	1,433,618
Country Club Hills Police Department	97,761
Countryside ETSB	51,316
Crawford County ETSB	223,556
Cumberland County 911	82,405
Deerfield Police Department 911	246,103
DeKalb 911	543,352
Des Plaines Park Ridge 911	513,410
DeWitt County 911	127,253
Douglas County 911	139,215
DuPage County ETSB 911	5,754,633
East Hazel Crest 911	8,747
ECOM Dispatch - Flossmoor	68,083
ECOM Dispatch - Glenwood	52,708
ECOM Dispatch - Homewood	135,886
ECOM Dispatch - South Holland	145,769
ECOM Dispatch - Village of Hazel Crest	78,722
ECOM Dispatch - Riverdale	80,420
Edgar County 911	132,602
Edwards County E911 System	60,761
Effingham County 911	253,961
Elgin ETSB	592,262
Elmwood Park ETSB	223,448
Evanston ETSB	449,213
Ford County ETSB	102,081
Forest Park ETSB	97,535
Fox Lake 911	74,599
Franklin County 911	249,598
Franklin Park ETSB	138,533
Fulton County ETSB	214,014
Gallatin County E911	54,517
Glencoe 911	59,928
Glenview Wireless 911	349,483
Grayslake ETSB	169,526
Grundy County ETSB	321,464

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**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
 WIRELESS SERVICE EMERGENCY FUND (FUND 612)**
 For the Fiscal Year Ended June 30, 2015
 (Not Examined)

<u>Provider Name</u>	<u>Total Disbursements</u>
Gurnee ETSB	\$ 220,299
Hancock County ETSB	134,396
Harvey ETSB	34,329
Harwood Heights Police Department	51,738
Henry County 911	311,880
Hickory Hills ETSB	84,234
Highland Park 911	221,302
Highwood Police 911	28,202
Hillside ETSB	61,269
Hometown ETSB	24,283
Iroquois County ETSB	177,957
Illinois State Police E911	518,791
Jackson County ETSB	313,675
Jasper County E911	66,319
Jefferson County ETSB	250,784
Jersey County ETSB/E911	139,962
Jo Daviess County ETSB	164,736
Johnson County ETSB	107,266
Justice ETSB	64,107
Kane County ETSB	2,510,179
Kankakee County ETSB	590,947
Kendall County ETSB	751,532
Kenilworth 911	18,622
Knox County ETSB	294,604
LaGrange Park 911	80,036
LaGrange Police 911	90,572
Lake Bluff 911	55,114
Lake County ETSB	1,524,410
Lake Forest ETSB	166,544
Lansing ETSB	166,627
LaSalle County ETSB	76,608
Lee County ETSB	212,596
Libertyville ETSB	238,737
Lincolnshire 911	126,742
Lincolnwood ETSB	95,023
Livingston ETSB	233,459
Logan County ETSB	178,756

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**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
WIRELESS SERVICE EMERGENCY FUND (FUND 612)**
For the Fiscal Year Ended June 30, 2015
(Not Examined)

<u>Provider Name</u>	<u>Total Disbursements</u>
Lynwood ETSB	\$ 45,583
Lyons 911	61,018
Macon County 911	603,896
Macoupin County ETSB	286,130
Madison County ETSB	1,576,546
Marion County ETSB	210,894
Markham ETSB	59,205
Marseilles ETSB	66,962
Marshall County ETSB	128,218
Mason County ETSB	101,310
Massac County E911	97,386
Maywood ETSB 911	81,196
McCook ETSB	29,954
McDonough County 911	166,809
McDonough/Henderson County 911	38,979
McDonough/Schuyler County 911	39,140
McHenry County ETSB	2,012,481
McLean County ETSB	1,030,114
Menard County 911	105,529
Mendota E911	70,664
Mercer County ETSB	119,038
Midlothian ETSB	66,788
Monroe County ETSB	243,551
Montgomery County 911	180,984
Morgan County E911	228,408
Morton Grove	167,338
Mundelein Police Department ETSB	197,862
Naperville ETSB	1,165,671
Niles ETSB	198,479
Norridge 911	91,978
North Chicago ETSB	113,864
North Riverside 911	41,911
Northbrook 911	267,186
Northfield Police Department	78,190
Northwest Central 911	3,758,214
Oak Forest E911	171,358
Oak Lawn ETSB	344,082

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**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
WIRELESS SERVICE EMERGENCY FUND (FUND 612)**
For the Fiscal Year Ended June 30, 2015
(Not Examined)

<u>Provider Name</u>	<u>Total Disbursements</u>
Oak Lawn ETSB Bridgeview	\$ 100,087
Oak Lawn ETSB Burbank	165,246
Oak Lawn ETSB Evergreen Park	125,046
Oak Park ETSB	332,932
Ogle County ETSB	347,476
Orland Joint ETSB	452,295
Ottawa ETSB	150,304
Park Ridge 911	254,987
Peoria County ETSB	1,098,515
Perry County ETSB	138,792
Peru ETSB	75,514
Piatt County ETSB	127,202
Pike County ETSB	115,102
Pulaski County E911	53,152
Pulaski County/Alexander County E911	24,262
Putnam County ETSB	59,291
Quadcom Joint ETSB	368,978
Quincy/Adams County 911 Center	405,199
Randolph County E911	180,724
Richland County 911	131,688
River Forest ETSB	71,518
River Grove 911	63,305
Riverside, Village of	55,556
Rock Island County ETSB	828,387
Rosemont ETSB	341,136
Saline County 911	166,430
Sangamon County ETSB	1,245,543
Sauk Village 911	33,576
Schiller Park ETSB	79,606
Scott County 911	53,709
Skokie 911	462,855
Southcom - Park Forest Dispatch	99,189
Southcom - Matteson Dispatch	118,300
Southcom - Olympia Fields Dispatch	35,558
Southcom - Richton Park Dispatch	75,892
Southwest Central 911	658,886
St. Clair County ETSB	1,503,893

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**SCHEDULE OF PROVIDER DISBURSEMENTS FROM THE
WIRELESS SERVICE EMERGENCY FUND (FUND 612)**
For the Fiscal Year Ended June 30, 2015
(Not Examined)

<u>Provider Name</u>	<u>Total Disbursements</u>
Stephenson County ETSB	\$ 294,766
Stickney 911	36,923
Streator ETSB	85,397
Tazewell County ETSB	837,688
Thornton ETSB	15,145
Tinley Park 911	389,258
Union County ETSB	118,047
Vermilion County ETSB	445,676
Vernon Hills Police Department	211,164
Village of Brookfield	115,368
Village of Summit	53,965
Wabash County ETSB	90,112
Warren County 911	120,336
Washington County ETSB	117,806
Waukegan Police Department	526,015
Wayne County ETSB	98,785
Westchester Police 911	125,918
Western Springs ETSB	83,868
Wheeling 911	237,324
White County 911	150,583
Whiteside County ETSB	356,567
Will County 911	3,475,642
Will County 911 - Bolingbrook	463,296
Williamson County 911	289,693
Willow Springs 911	60,648
Wilmette 911	194,074
Winnebago County 911	1,628,178
Winnetka 911	83,403
Winthrop Harbor ETSB	41,686
Woodford County ETSB	265,729
Zion E911	108,810
 Total Fiscal Year 2015 Disbursements - All Providers	 <u>\$ 65,142,802</u>